

# Relaxed variational principle for water wave modeling

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# Acknowledgements

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# Outline

- 1 Relaxed Lagrangian
- 2 Deep water example
  - gKG system
- 3 Shallow water examples
  - Serre system
  - SV++ system

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# Luke's variational principles

J.C. Luke, JFM (1967) [Luk67]

First improvement of the classical Lagrangian:  $\mathcal{L} := K - \Pi$

$$\mathcal{L} = \int_{t_1}^{t_2} \int_{\Omega} \rho \mathcal{L} \, d\mathbf{x} \, dt, \quad \mathcal{L} := \int_{-d}^{\eta} (\phi_t + \frac{1}{2} |\nabla_{\mathbf{x},y} \phi|^2 + gy) \, dy$$

$$\delta\phi: \Delta\phi = 0, \quad (\mathbf{x}, y) \in \Omega \times [-d, \eta],$$

$$\delta\phi|_{y=-d}: \frac{\partial\phi}{\partial y} + \nabla\phi \cdot \nabla d + d_t = 0, \quad y = -d,$$

$$\delta\phi|_{y=\eta}: \frac{\partial\eta}{\partial t} + \nabla\phi \cdot \nabla\eta - \frac{\partial\phi}{\partial y} = 0, \quad y = \eta(\mathbf{x}, t),$$

$$\delta\eta: \frac{\partial\phi}{\partial t} + \frac{1}{2} |\nabla\phi|^2 + g\eta = 0, \quad y = \eta(\mathbf{x}, t).$$

- We obtain the water wave problem by varying  $\eta$  and  $\phi$

# Generalization of the Lagrangian density

D. Clamond & D. Dutykh (2012), [CD12]

$\tilde{\phi} := \phi(\mathbf{x}, y = \eta(\mathbf{x}, t), t)$ : quantity at the free surface

$\check{\phi} := \phi(\mathbf{x}, y = -d(\mathbf{x}, t), t)$ : value at the bottom

Equivalent form of Luke's lagrangian:

$$\mathcal{L} = \tilde{\phi}\eta_t + \check{\phi}d_t - \frac{1}{2}g\eta^2 + \frac{1}{2}gd^2 - \int_{-d}^{\eta} \left[ \frac{1}{2}|\nabla\phi|^2 + \frac{1}{2}\phi_y^2 \right] dy$$

Explicitly introduce the velocity field:  $\mathbf{u} = \nabla\phi$ ,  $v = \phi_y$

$$\mathcal{L} = \tilde{\phi}\eta_t + \check{\phi}d_t - \frac{1}{2}g\eta^2 - \int_{-d}^{\eta} \left[ \frac{1}{2}(\mathbf{u}^2 + v^2) + \boldsymbol{\mu} \cdot (\nabla\phi - \mathbf{u}) + v(\phi_y - v) \right] dy$$

$\boldsymbol{\mu}, v$ : Lagrange multipliers or pseudo-velocity field

# Generalization of the Lagrangian density

D. Clamond & D. Dutykh (2012), [CD12]

Relaxed variational principle:

$$\begin{aligned} \mathcal{L} = & (\eta_t + \tilde{\boldsymbol{\mu}} \cdot \nabla \eta - \tilde{\nu}) \check{\phi} + (d_t + \check{\boldsymbol{\mu}} \cdot \nabla d + \check{\nu}) \check{\phi} - \frac{1}{2} g \eta^2 \\ & + \int_{-d}^{\eta} \left[ \boldsymbol{\mu} \cdot \mathbf{u} - \frac{1}{2} \mathbf{u}^2 + \nu v - \frac{1}{2} v^2 + (\nabla \cdot \boldsymbol{\mu} + \nu_y) \phi \right] dy \end{aligned}$$

Classical formulation (for comparison):

$$\mathcal{L} = \check{\phi} \eta_t + \check{\phi} d_t - \frac{1}{2} g \eta^2 - \int_{-d}^{\eta} \left[ \frac{1}{2} |\nabla \phi|^2 + \frac{1}{2} \phi_y^2 \right] dy$$

Degrees of freedom:  $\eta, \phi; \mathbf{u}, v; \boldsymbol{\mu}, \nu$

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# Deep water approximation

Choice of the ansatz:

$$\{\phi; \mathbf{u}; v; \mu; \nu\} \approx \{\tilde{\phi}; \tilde{\mathbf{u}}; \tilde{v}; \tilde{\mu}; \tilde{\nu}\} e^{\kappa(y-\eta)}$$

$$2\kappa\mathcal{L} = 2\kappa\tilde{\phi}\eta_t - g\kappa\eta^2 + \frac{1}{2}\tilde{\mathbf{u}}^2 + \frac{1}{2}\tilde{v}^2 - \tilde{\mathbf{u}} \cdot (\nabla\tilde{\phi} - \kappa\tilde{\phi}\nabla\eta) - \kappa\tilde{v}\tilde{\phi}$$

- generalized Klein-Gordon equations:

$$\begin{aligned}\eta_t + \frac{1}{2}\kappa^{-1}\nabla^2\tilde{\phi} - \frac{1}{2}\kappa\tilde{\phi} &= \frac{1}{2}\tilde{\phi}[\nabla^2\eta + \kappa(\nabla\eta)^2] \\ \tilde{\phi}_t + g\eta &= -\frac{1}{2}\nabla \cdot [\tilde{\phi}\nabla\tilde{\phi} - \kappa\tilde{\phi}^2\nabla\eta]\end{aligned}$$

$$\mathcal{H} = \int_{\Omega} \left\{ \frac{1}{2}g\eta^2 + \frac{1}{4}\kappa^{-1}[\nabla\tilde{\phi} - \kappa\tilde{\phi}\nabla\eta]^2 + \frac{1}{4}\kappa\tilde{\phi}^2 \right\} dx$$

# Deep water approximation

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$$\mathbb{M}z_t + \mathbb{K}z_x + \mathbb{L}z_y = \nabla_z \mathcal{S}(z)$$

# Comparison with exact Stokes wave

- Cubic Zakharov Equations (CZE):

$$\begin{aligned}\eta_t - \partial\tilde{\phi} &= -\nabla \cdot (\eta \nabla \tilde{\phi}) - \partial(\eta \partial\tilde{\phi}) + \\ &\quad \frac{1}{2} \nabla^2 (\eta^2 \partial\tilde{\phi}) + \partial(\eta \partial(\eta \partial\tilde{\phi})) + \frac{1}{2} \partial(\eta^2 \nabla^2 \tilde{\phi}), \\ \tilde{\phi}_t + g\eta &= \frac{1}{2} (\partial\tilde{\phi})^2 - \frac{1}{2} (\nabla\tilde{\phi})^2 - (\eta \partial\tilde{\phi}) \nabla^2 \tilde{\phi} - (\partial\tilde{\phi}) \partial(\eta \partial\tilde{\phi}).\end{aligned}$$

- Phase speed :

$$\text{Exact: } g^{-\frac{1}{2}} \kappa^{\frac{1}{2}} c = 1 + \frac{1}{2} \alpha^2 + \frac{1}{2} \alpha^4 + \frac{707}{384} \alpha^6 + O(\alpha^8)$$

$$\text{CZE: } g^{-\frac{1}{2}} \kappa^{\frac{1}{2}} c = 1 + \frac{1}{2} \alpha^2 + \frac{41}{64} \alpha^4 + \frac{913}{384} \alpha^6 + O(\alpha^8)$$

$$\text{gKG: } g^{-\frac{1}{2}} \kappa^{\frac{1}{2}} c = 1 + \frac{1}{2} \alpha^2 + \frac{1}{2} \alpha^4 + \frac{899}{384} \alpha^6 + O(\alpha^8)$$

- $n$ -th Fourier coefficient to the leading order:  $\frac{n^{n-2} \alpha^n}{2^{n-1} (n-1)!}$  (the same in gKG & Stokes but not in CZE)

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# Shallow water regime

Choice of a simple ansatz in shallow water

Ansatz:

$$\mathbf{u}(\mathbf{x}, y, t) \approx \bar{\mathbf{u}}(\mathbf{x}, t), v(\mathbf{x}, y, t) \approx (y + d)(\eta + d)^{-1} \tilde{v}(\mathbf{x}, t)$$

$$\phi(\mathbf{x}, y, t) \approx \bar{\phi}(\mathbf{x}, t), \nu(\mathbf{x}, y, t) \approx (y + d)(\eta + d)^{-1} \tilde{\nu}(\mathbf{x}, t)$$

Lagrangian density:

$$\mathcal{L} = \bar{\phi}\eta_t - \frac{1}{2}g\eta^2 + (\eta + d) \left[ \bar{\boldsymbol{\mu}} \cdot \bar{\mathbf{u}} - \frac{1}{2}\bar{u}^2 + \frac{1}{3}\tilde{\nu}\tilde{\nu} - \frac{1}{6}\tilde{\nu}^2 - \bar{\boldsymbol{\mu}} \cdot \nabla \bar{\phi} \right]$$

Nonlinear Shallow Water Equations:

$$h_t + \nabla \cdot [h\bar{\mathbf{u}}] = 0,$$

$$\bar{\mathbf{u}}_t + (\bar{\mathbf{u}} \cdot \nabla)\bar{\mathbf{u}} + g\nabla h = 0.$$

# Constraining with free surface impermeability

Constraint:

$$\tilde{v} = \eta_t + \bar{\mu} \cdot \nabla \eta$$

- Generalized Serre equations [Ser53]:

$$h_t + \nabla \cdot [h\bar{u}] = 0,$$

$$\begin{aligned} \bar{u}_t + \bar{u} \cdot \nabla \bar{u} + g \nabla h + \frac{1}{3} h^{-1} \nabla [h^2 \tilde{\gamma}] &= (\bar{u} \cdot \nabla h) \nabla (h \nabla \cdot \bar{u}) \\ &\quad - [\bar{u} \cdot \nabla (h \nabla \cdot \bar{u})] \nabla h \end{aligned}$$

$$\tilde{\gamma} = \tilde{v}_t + \bar{u} \cdot \nabla \tilde{v} = h((\nabla \cdot \bar{u})^2 - \nabla \cdot \bar{u}_t - \bar{u} \cdot \nabla (\nabla \cdot \bar{u}))$$

This model cannot be obtained from Luke's Lagrangian:

$$\delta \bar{\mu}: \bar{u} = \nabla \bar{\phi} - \frac{1}{3} \tilde{v} \nabla \eta \neq \nabla \bar{\phi}$$

# Shallow water theories for arbitrary slopes

Quest for improved Saint-Venant or Savage-Hutter equations

- Dispersive nonhydrostatic extensions
- Hydrostatic and nonhydrostatic nondispersive generalizations

Existing literature:

R.F. Dressler: JHR (1978), [Dre78]

F. Bouchut *et al.*: CRAS (2003), [BMCPV03]

J.B. Keller: JFM (2003), [Kel03]

Model by Bouchut & Keller (2003):

$$\left(h - \frac{1}{2}h^2 d_x\right)_t + \left(\frac{\log(1 - hd_x)}{-d_x} u\right)_x = 0$$

$$u_t + \left(\frac{1}{(1 - gd_x)^2} \frac{u^2}{2} + g\eta\right)_x = 0$$

# Improved Saint-Venant (SV++) equations

Derivation from the relaxed Lagrangian [DC11]

Choice of the ansatz:

$$\phi \approx \bar{\phi}(\mathbf{x}, t), \quad \mathbf{u} = \boldsymbol{\mu} \approx \bar{\mathbf{u}}(\mathbf{x}, t), \quad v = \nu \approx \check{v}(\mathbf{x}, t) = -d_t - \bar{\mathbf{u}} \cdot \nabla d$$

Lagrangian:

$$\mathcal{L} = (h_t + \bar{\mathbf{u}} \cdot \nabla h + h \nabla \cdot \bar{\mathbf{u}}) \bar{\phi} - \frac{1}{2} g \eta^2 + \frac{1}{2} h (\bar{\mathbf{u}}^2 + \check{v}^2)$$

$$h_t + \nabla \cdot [h \bar{\mathbf{u}}] = 0,$$

$$[\bar{\mathbf{u}} - \check{v} \nabla d]_t + \nabla [g \eta + \frac{1}{2} \bar{\mathbf{u}}^2 + \frac{1}{2} \check{v}^2 + \check{v} d_t] = 0.$$

- Momentum conservation equation ( $\gamma := \frac{d\check{v}}{dt} = \check{v}_t + (\bar{\mathbf{u}} \cdot \nabla) \check{v}$ ):

$$[h \bar{\mathbf{u}}]_t + \nabla [h \bar{\mathbf{u}}^2 + \frac{1}{2} g h^2] = (g + \gamma) h \nabla d + h \bar{\mathbf{u}} \wedge \nabla \check{v} \wedge \nabla d$$



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Lagrangian:

$$\mathcal{L} = (h_t + \bar{\mathbf{u}} \cdot \nabla h + h \nabla \cdot \bar{\mathbf{u}}) \bar{\phi} - \frac{1}{2} g \eta^2 + \frac{1}{2} h (\bar{\mathbf{u}}^2 + \check{v}^2)$$

$$h_t + \nabla \cdot [h \bar{\mathbf{u}}] = 0,$$

$$[\bar{\mathbf{u}} - \check{v} \nabla d]_t + \nabla [g \eta + \frac{1}{2} \bar{\mathbf{u}}^2 + \frac{1}{2} \check{v}^2 + \check{v} d_t] = 0.$$

- Gravity wave propagation speed in SV and SV++:

$$c_{sv} := \sqrt{gh}, \quad c_{sv++} := \frac{\sqrt{gh}}{\sqrt{1 + |\nabla d|^2}}$$

# Structural properties of SV++

Hamiltonian structure and energy conservation [DC11]

Hamiltonian form:

$$\frac{\partial h}{\partial t} = \frac{\delta \mathcal{H}}{\delta \bar{\phi}}, \quad \frac{\partial \bar{\phi}}{\partial t} = -\frac{\delta \mathcal{H}}{\delta h}$$

$$\mathcal{H} = \frac{1}{2} \int \left\{ g(h-d)^2 + h|\nabla \bar{\phi}|^2 - \frac{h[d_t + \nabla \bar{\phi} \cdot \nabla d]^2}{1 + |\nabla d|^2} \right\} d^2 \mathbf{x}$$

Or equivalently:

$$\mathcal{H} = \frac{1}{2} \int \left\{ g\eta^2 + h\bar{\mathbf{u}}^2 + h(\check{v} + d_t)^2 - hd_t^2 \right\} d^2 \mathbf{x},$$

- Energy conservation identity:





$$\left[ h \frac{|\bar{\mathbf{u}}|^2 + \check{v}^2}{2} + g \frac{\eta^2 - d^2}{2} \right]_t + \nabla \cdot \left[ \left( \frac{|\bar{\mathbf{u}}|^2 + \check{v}^2}{2} + g\eta \right) h\bar{\mathbf{u}} \right] = -(g+\gamma)hd_t$$

Thank you for your attention!






<http://www.lama.univ-savoie.fr/~dutykh/>

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